

Convergent Evolution in Artificial Intelligence: From Statistical Models to Generative Systems

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ABSTRACT

The transformation of artificial intelligence (AI) over the past two decades has been nothing short of remarkable. There has been a dramatic shift from basic statistical models to sophisticated generative systems that can create human-like content across multiple modalities, which is one of the most significant technological paradigm shifts in computational history. This study introduces what is termed the Convergent Evolution Framework for AI Development (CEFAD). The goal was to develop structured analytical tools that could help researchers and policymakers better understand the often bewildering patterns of technological evolution in AI development. A comprehensive theoretical approach was adopted, drawing on insights from complexity theory, paradigm shift analysis, and emergent systems principles. Rather than relying on a single disciplinary lens, perspectives from multiple fields were synthesized to create six, interconnected theoretical constructs. The framework successfully accounts for several puzzling phenomena in AI development, from the unexpected success of transformer architectures across completely different domains to sudden scaling breakthroughs in large language models. The framework's explanatory power for major technological transitions is demonstrated, uncovering fundamental patterns including threshold effects, architectural convergence, and what is called the "democratization-concentration paradox. Although CEFAD offers valuable analytical tools for understanding AI evolution, there is keen awareness of its limitations and the need for extensive empirical validation. For developing nations, the analysis points toward strategic approaches that emphasize adaptive positioning and regional collaboration rather than attempting to compete directly in the foundation-model race.

Keywords: Artificial Intelligence Evolution, Theoretical Framework, Paradigmatic Shifts, Generative Systems, Technological Convergence

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1. INTRODUCTION

Looking back at the past two decades of artificial intelligence development, the sheer velocity of change is striking. The numbers tell part of the story: the computational power for training cutting-edge models has exploded by a factor of 300,000, whereas the time between major breakthroughs has been compressed from decades to years (Chen et al., 2024). However, numbers only capture a certain amount of information.

The qualitative leap that has been witnessed—from simple N-gram models that struggled with basic word prediction (Dong et al., 2021) to sophisticated generative systems that can reason across multiple modalities—represents something far more profound than incremental progress.

What is seen resembles less the steady march of normal science and more the kind of punctuated evolution that Stephen Jay Gould described in biology (Li et al., 2023; Shao et al., 2022). The evolutionary dynamics of AI ecosystems have become increasingly complex, involving multiple stakeholders, competing technological trajectories, and emergent properties that consistently confound the linear models of technological development (Jacobides et al., 2021). Today's AI systems routinely transcend their original design parameters, exhibiting behaviors that suggest fundamental shifts in how artificial intelligence processes and generates information (Yuan et al., 2023).

The literature certainly does not lack documentation of individual technological advances; researchers have meticulously chronicled each breakthrough across domains, from healthcare applications (Omar et al., 2022; Elamin, 2024) to emerging electronics technologies (Gao & Adnan, 2025). What's been missing, though, is a coherent theoretical framework for understanding the evolutionary mechanisms driving these changes. This fragmentation across disciplinary boundaries creates real problems when trying to make sense of why certain breakthroughs occurred when they did, how seemingly unrelated technologies ended up converging, or what the strategic implications might be for different stakeholders (Jin et al., 2023).

This study aimed to address this gap. This study proposes what is called the Convergent Evolution Framework for AI Development (CEFAD), a theoretical synthesis that draws from complexity science, innovation studies, and information processing theory. Rather than claiming to have all the answers, structured analytical tools designed to reveal patterns in rapid technological change are offered while remaining honest about the inherent limitations and alternative explanations that any such framework must grapple with.

2. LITERATURE REVIEW AND THEORETICAL ANALYSIS

2.1 Theoretical Foundations and Disciplinary Convergence

The challenge of understanding AI's rapid evolution cuts across many disciplines, and it is tempting to throw up our hands and declare the phenomenon too complex for theoretical analysis. However, this would be a mistake. While no single theoretical tradition has all the pieces of the puzzle, several offer valuable insights that, when combined, illuminate the underlying patterns. Kuhn's paradigm shift theory provides an obvious starting point and offers a compelling framework for analyzing discrete transitions between dominant technological approaches (Zhou et al., 2021). But here's where things get complicated: Kuhn was writing about academic science, where the social dynamics and incentive structures differ markedly from the commercial research environments where much AI development now takes place.

Complexity science offers a more promising theoretical foundation, particularly its insights into the emergent properties arising from the dynamic interactions between multiple evolving components (Li et al., 2023; Yuan et al., 2023). The mathematical frameworks that complexity scientists have developed to understand emergence provide crucial insights into why AI capabilities often develop through dramatic threshold effects rather than through gradual improvement (Hu et al., 2021). However, complexity theory has limitations when applied to AI development, as most frameworks remain fairly generic.

Therefore, economic theories of technological change are indispensable. Markets, competition, and resource allocation may drive innovation trajectories more powerfully than purely technical considerations (Jacobides et al., 2022; Jacobides et al., 2021). The tendency to focus on technological factors while underweighting economic and institutional influences represents what might be called the "engineering bias" in AI development analysis. Information processing theories contribute another crucial piece by establishing foundational principles for cognitive architectures and representational capabilities (Arrieta et al., 2019). This is particularly important when attempting to understand why attention mechanisms, originally developed for language processing, have achieved such rapid success in multiple domains. The emerging principles of explainable artificial intelligence add another layer, helping to understand how different architectural approaches can achieve similar cognitive capabilities (Minh et al., 2021).

2.2 Historical Evolution and Pattern Recognition

The journey from statistical N-gram models to generative AI reveals patterns that consistently confound expectations of how technology should develop (Fig 1). Foundational research from the 2000s established mathematical frameworks that continue to influence contemporary approaches, even as their fundamental limitations have become increasingly apparent (Dong et al., 2021; Garner et al., 2018). N-gram models represent the absolute pinnacle of what pure statistical methods can achieve; however, they also demonstrate the hard limits of approaches that rely solely on surface-level statistical patterns. Meanwhile, computer vision researchers have pursued completely different trajectories, focusing on handcrafted feature extraction algorithms such as SIFT and SURF (Chai et al., 2021). In retrospect, it is fascinating how completely these approaches have been superseded by learned representations. This was not a gradual improvement; it was a wholesale replacement, a discontinuous change that linear models of technological development struggle to explain.

AI Model Evolution

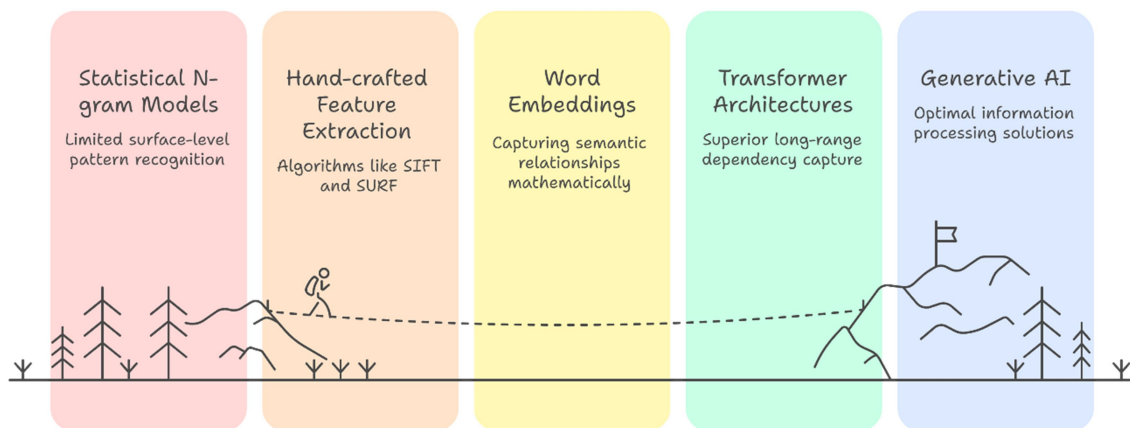


Fig 1: Historical Evolution of AI Development: From Statistical Models to Generative Systems.

The diagram illustrates the nonlinear progression and paradigmatic shifts in artificial intelligence development, showing threshold effects and discontinuous technological transitions, rather than gradual improvement patterns. The emergence of word embeddings marks another crucial inflection point in this field.

Word2Vec and GloVe demonstrated something that seemed almost magical: distributed representations could capture meaningful semantic relationships through mathematical operations (Dong et al. 2021). This was proof of concept that meaningful semantic relationships could emerge from the statistical learning processes applied to large-scale datasets. However, perhaps the most dramatic example of convergent evolution in recent AI history is the rise of transformer architecture (Wang et al., 2023). Originally designed for machine translation, transformers have been rapidly adopted in natural language processing and computer vision because of their superior ability to capture long-range dependencies. This represents a fundamental convergence toward optimal information-processing solutions that transcend specific applications.

2.3 Contemporary Developments and Controversies

What makes contemporary AI research so fascinating—and so difficult to theorize—is the consistent appearance of capabilities that seem to emerge from nowhere. Large language models provide striking examples of systems with billions of parameters that suddenly exhibit few-shot learning, sophisticated reasoning, and complex problem-solving abilities that appear qualitatively different from those of their smaller predecessors (Chen et al., 2024; Osang, 2022, Hu et al., 2021). Sudden leaps have been observed when certain combinations of computational resources, architectural innovations, and training data reach critical thresholds (Jin et al., 2023; Yuan et al., 2023).

The multimodal integration trend provides another compelling example. Vision-language models, such as CLIP and GPT-4V, demonstrate sophisticated reasoning across text and visual information, validating hypotheses regarding convergent solutions for information processing tasks (Li et al., 2023). Perhaps most significantly, there has been the emergence of truly generative capabilities that represent a qualitative shift from discriminative pattern recognition to content creation and synthesis (Sengar et al., 2024; Phillips et al., 2024). Systems such as GPT-4 and DALL-E generate original content across multiple modalities, expanding their functional capabilities far beyond simple classifications (Wang et al., 2023).

These developments create what might be called the "interpretability paradox": as AI systems become more powerful, the mechanisms underlying their capabilities become increasingly opaque (Hassija et al., 2023), creating both theoretical and practical challenges. Any honest theoretical analysis must grapple with the significant controversies that continue to divide this field. Claims regarding emergent consciousness and genuine understanding in large language models have generated heated debates with profound implications for how system capabilities are interpreted (Jin et al., 2023; Phillips et al., 2024). Critics argue that what is interpreted as technological convergence may reflect researcher mobility, funding mechanisms favoring general-purpose solutions, and market pressures rather than the fundamental principles of optimal information processing (Jacobides et al., 2021; Zhou et al., 2021). Democratization versus concentration dynamics generates ongoing controversies regarding access and control issues. While foundation models make sophisticated capabilities more accessible through APIs, computational requirements create concentration effects that limit meaningful participation by well-funded organizations (Li et al., 2023; De Almeida & Júnior, 2025).

2.4. The CEFAD Framework: Theoretical Synthesis

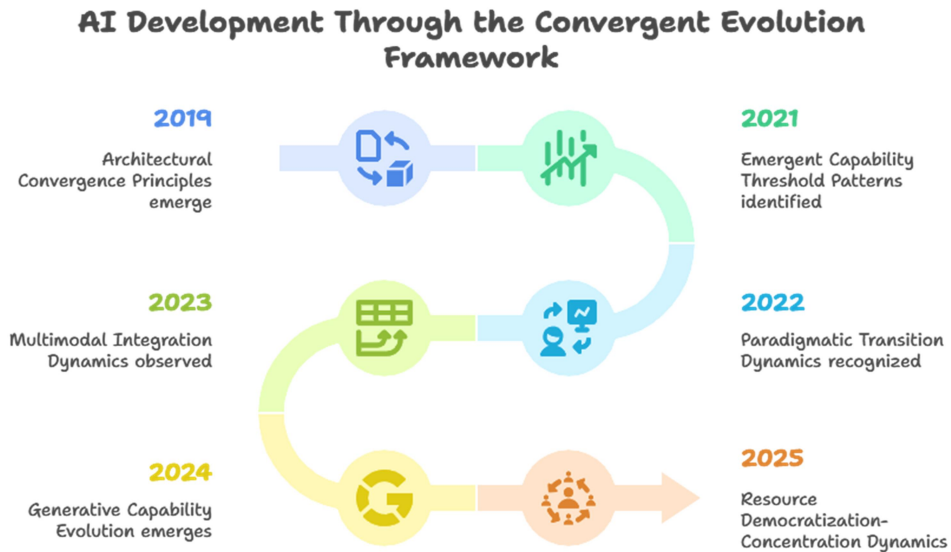


Fig 2: The Convergent Evolution Framework for AI Development (CEFAD)

2.5 Framework Architecture and Core Constructs

The Convergent Evolution Framework for AI Development represents an attempt to synthesize insights from multiple theoretical traditions into a coherent analytical framework. Six interconnected constructs that, taken together, help explain the evolutionary patterns observed in AI development were identified (Fig 2). These constructs function as components within a complex adaptive system, where technological innovations, resource availability, and application demands interact through multiple feedback mechanisms (Jacobides et al., 2023; Jacobides et al., 2021).

Construct 1: Paradigmatic Transition Dynamics adapts the Kuhnian analysis to technological development, recognizing that AI evolution occurs through discrete transitions rather than continuous improvements (Shao et al., 2022). The progression from statistical N-gram models to neural architectures exemplifies this pattern, as statistical limitations create conditions that favor learning-based methodologies, involving shifts in research priorities, funding patterns, and institutional support.

Construct 2: Emergent Capability Threshold Patterns address why breakthrough capabilities appear suddenly, rather than developing gradually. Critical transition points exist where quantitative changes produce qualitatively distinct cognitive capabilities (Yuan et al., 2023; Hu et al., 2021). Different capabilities exhibit different threshold characteristics: basic pattern recognition emerges with modest requirements, whereas sophisticated reasoning demands high levels of architectural sophistication, computational resources, and training data.

Construct 3: Architectural Convergence Principles draws from evolutionary biology to explain how disparate AI domains develop similar solutions when the underlying information-processing requirements share fundamental characteristics (Arrieta et al., 2019; Muther et al., 2022). The success of the transformer architecture across languages and vision exemplifies convergence toward optimal solutions, suggesting universal computational principles.

Construct 4: Multimodal Integration Dynamics recognizes the evolutionary pressure toward systems that process multiple information modalities simultaneously (Li et al., 2023). Human intelligence provides proof of the existence of integrated capabilities, creating developmental pressure for artificial systems to achieve similar integration.

Construct 5: Generative Capability Evolution distinguishes between discriminative and generative capabilities as fundamentally different cognitive functions that require distinct approaches (Sengar et al., 2024; Phillips et al., 2024). The emergence of generative capabilities represents a qualitative advancement that enables content creation beyond pattern recognition.

Construct 6: Resource Democratization-Concentration Dynamics identifies the fundamental tension between technological democratization and resource concentration (De Almeida and Júnior, 2025). Foundation models increase accessibility, whereas computational requirements become concentrated among well-funded organizations, creating complex dependency relationships.

2.6 Framework Integration and Validation Through Case Studies

The emergence of the transformer architecture provides compelling validation for multiple framework constructs that operate simultaneously. Prior to 2017, natural language processing and computer vision operated through fundamentally different approaches (Arrieta et al., 2023; Arrieta et al., 2019). The rapid success of attention mechanisms across diverse domains demonstrates architectural convergence principles, as researchers have discovered that the underlying computational requirements share fundamental similarities. The threshold effect construct explains why transformer capabilities appeared suddenly rather than developing gradually; the breakthrough required the simultaneous convergence of computational resources, architectural sophistication, large-scale datasets, and research expertise (Chai et al., 2021; Yuan et al., 2023). The scaling of large language models provides another illustration of the emergent capability patterns. Systems with billions of parameters suddenly began exhibiting sophisticated reasoning abilities that were completely absent in their smaller predecessors (Chen et al., 2024; Hu et al., 2021). This validates the framework's emphasis on threshold dynamics and helps explain why these capabilities appeared so suddenly.

3: METHODOLOGY

3.1. Research Design and Data Analysis

Research Design

This study adopts a qualitative approach to understand the evolution of AI technologies, focusing on identifying patterns and transitions rather than quantitative measurements. The methodology used was what researchers call a systematic literature review methodology, but with a twist: instead of just listing what happened when, there was an attempt to understand why certain breakthroughs occurred and how they built upon each other.

This study follows a chronological thematic framework. Developments were organized chronologically to understand the sequence of innovations and then analyzed thematically to identify underlying patterns and driving forces. This dual approach helps reveal both the historical narrative and the deeper structural changes in AI research approaches to these problems.

3.2 Data Sources and Selection Criteria

The primary sources included peer-reviewed journal articles, conference proceedings, and technical reports spanning 2000 to 2025. Recent work (2018-2025) was deliberately prioritized, while foundational papers that established key principles and methodologies were included. The selection process involved several stages, as follows. First, core venues in AI research were identified, including journals such as *Information Fusion* and *Natural Language Processing Journal*, and conferences such as ICDT. Then searches were conducted for papers using combinations of terms like "natural language processing," "computer vision," "generative AI," and "multimodal systems." The rapid pace of change in AI research has made this challenging. By the time a paper goes through peer review and publication, the field may have moved significantly forward. Therefore, high-quality preprints and technical reports were also included to capture the most recent developments.

3.3 Search Strategy and Quality Assessment

A comprehensive search was conducted across multiple academic databases, including IEEE Xplore, ACM Digital Library, ScienceDirect, Google Scholar, Scopus, and Research Rabbit, as well as arXiv for recent preprints. The search strategy evolved as more was learned about the field; initial broad searches helped identify key concepts and researchers, which then guided more targeted searches. Quality assessment proved crucial, given the varying standards across different publication venues. The papers were evaluated based on several criteria: reputation of the publication venue, citation impact, methodological rigor, and relevance to the research objectives. Priority was given to work published in high-impact journals and well-established conferences, but influential papers from newer venues were also included.

3.4 Analysis Framework

The analysis employed what might be called a "technological archaeology" approach. Similar to archaeologists studying cultural layers, we examined how different technological approaches built upon, replaced, or merged with their predecessors. This helped us understand not only what happened but also why certain approaches succeeded while others were abandoned. Comparative analysis was also used to examine how similar problems were approached differently in language processing versus computer vision and how solutions eventually converged. This cross-domain perspective reveals interesting patterns regarding how ideas migrate between fields.

Limitations and Considerations

The analysis was shaped by several limitations. First, the rapid pace of AI development means that some of the "current trends" may already be outdated by the time this paper is read. To mitigate this issue, the focus was on fundamental patterns rather than specific technical details. Second, the literature is heavily skewed toward work conducted in well-funded institutions in developed nations. This creates gaps in understanding how these technologies might be adapted or applied in different contexts, particularly in developing countries such as Nigeria. Finally, the sheer volume of AI research makes comprehensive coverage challenging. Focus was placed on major technological transitions rather than attempting encyclopaedic coverage, which means some important but specialized developments may not receive the attention they deserve.

4. DISCUSSION: IMPLICATIONS AND LIMITATIONS

4.1 Theoretical Contributions and Analytical Value

The CEFAD framework makes several important contributions to the theoretical understanding of AI technological evolution. It provides structured analytical tools that synthesize insights from multiple disciplines while offering specific constructs tailored to the unique characteristics of AI development (Li et al., 2023; Shao et al., 2022). Unlike existing approaches that typically emphasize single factors, this framework explains diverse phenomena from a unified analytical perspective. The framework extends complexity science applications by identifying specific emergence patterns characteristic of AI development—capability thresholds, architectural convergence, and paradigmatic transitions—providing more detailed analytical tools than generic complexity approaches while maintaining theoretical rigor (Jin et al., 2023; Yuan et al., 2023). The predictive potential of this framework emerges from the systematic identification of recurring patterns and underlying mechanisms.

4.2 Limitations, Validation Challenges, and Strategic Implications

Despite these contributions, this study has several limitations. The most fundamental problem is "retrospective coherence bias"—looking backward, it is remarkably easy to identify patterns and make them seem inevitable, while alternative trajectories become invisible (Arrieta et al., 2019). There may be falling into what Nassim Taleb calls the "narrative fallacy." Related is the "survivor bias" problem: successful developments are analyzed while potentially overlooking failed approaches that might reveal different patterns (Hassija et al., 2023).

The choice of six theoretical constructs reflects particular analytical decisions that may impose an artificial structure on historical processes driven by contingent factors. However, the framework faces substantial empirical validation challenges that limit its scientific credibility and practical applicability. Historical analysis faces severe data availability limitations, measurement standardization problems, and difficulties in identifying confounding variables (Omar et al., 2022; Minh et al., 2021). The theoretical constructs require translation into measurable variables before systematic validation becomes possible, and testing framework predictions requires observation periods that extend far beyond the typical research project timescales (Jin et al., 2023; Yuan et al., 2023; Chen et al., 2024).

Despite these limitations, the framework provides valuable strategic insights for developing nations seeking to participate effectively in global AI innovation ecosystems. Rather than attempting to replicate resource-intensive foundation model development strategies, the analysis points toward approaches that emphasize the adaptation and customization of existing capabilities while building complementary strengths, where local expertise provides competitive advantages (Li et al., 2023; De Almeida & Júnior, 2025). Domain-specific application development represents particularly promising opportunities for countries with specialized expertise in agriculture, healthcare, education, and governance applications (Hartsock & Rasool, 2024; Azad et al., 2023; Nti et al., 2021). Educational strategies should emphasize foundational principles rather than focusing narrowly on specific technologies that may become obsolete rapidly (Sengar et al. 2024). Regional collaboration is valuable for achieving a critical mass in research and development (Jacobides et al., 2021).

5. FINDINGS AND FUTURE RESEARCH DIRECTIONS

This systematic review revealed several critical insights into the evolution of AI technology that fundamentally challenge conventional linear progression narratives. Most significantly, the identification of threshold effects suggests that breakthrough applications often appear unexpectedly when multiple system components simultaneously reach critical levels, rather than developing through gradual improvement (Li et al., 2023; Chen et al., 2024; Yuan et al., 2023). The convergence phenomenon across initially disparate domains indicates fundamental similarities in optimal information-processing solutions that transcend specific application areas (Wang et al., 2023; Muther et al., 2022). What is called The "democratization-concentration paradox" was identified, which is a complex dynamic in which technological capabilities become simultaneously more accessible to end users and more concentrated among developers, creating entirely new forms of dependency relationships (Jin et al., 2023; De Almeida & Júnior, 2025).

The CEFAD framework contributes to broader innovation theory by demonstrating how established theoretical principles can be synthesized to explain rapid technological changes in computational domains, where traditional innovation models often fail (Li et al., 2023; Hassija et al., 2023). The emphasis on architectural convergence and threshold effects extends the complexity of science applications while providing a more structured analysis of the specific mechanisms driving technological evolution (Jin et al., 2023; Yuan et al., 2023). The framework's integration of technical, economic, and institutional factors demonstrates the necessity of interdisciplinary approaches to understand contemporary technological development (Jacobides et al., 2022; Osang and Mbarika, 2019; Jacobides et al., 2021).

Future research must prioritize comprehensive empirical validation before the framework can achieve an established theoretical status, requiring systematic operationalization and measurement approaches that enable rigorous empirical testing (Minh et al., 2022; Minh et al., 2021). Cross-cultural validation is a particularly essential priority that requires a systematic examination of the framework's applicability across diverse institutional, economic, and cultural contexts (Azad et al., 2023; De Almeida & Júnior, 2025). Longitudinal studies tracking framework predictions against actual development trajectories provide essential validation methodologies, although such studies require extended observation periods that exceed typical research project timescales (Chen et al., 2024; Shao et al., 2022). Mathematical formalization requires sustained collaborative development with quantitative researchers to establish empirically grounded parameter estimation methods (Jin et al., 2023; Yuan et al., 2023).

6. CONCLUSION

This systematic review introduced the Convergent Evolution Framework for AI Development to make sense of the remarkable journey from basic statistical models to sophisticated generative AI systems. By weaving together insights from complexity theory, paradigm shift analysis, and information processing research, six interconnected constructs were developed that helped explain the threshold effects, architectural convergence, and paradigmatic transitions observed in AI development. What distinguished this framework was its ability to provide structured analytical tools that brought together insights from multiple fields while explaining diverse phenomena—from the transformer revolution to scaling breakthroughs in large language models—through a unified theoretical perspective.

The analysis demonstrated the framework's explanatory power for major technological transitions, revealing fundamental patterns such as nonlinear capability emergence and the democratization-concentration paradox reshaping global AI development. Nevertheless, this research remains acutely aware of significant limitations that necessitate extensive empirical validation, including potential retrospective bias, geographic scope constraints, and perhaps an excessive emphasis on technological factors as opposed to social and economic influences. For developing nations, the analysis suggests prioritizing adaptive positioning, regional collaboration, and domain-specific applications rather than competing directly in the foundation model race. The CEFAD framework illustrates how interdisciplinary theoretical synthesis can elucidate evolutionary patterns in rapidly changing technological fields while maintaining appropriate scholarly humility regarding theoretical limitations and validation requirements. This approach offered a model for understanding technological evolution, where complete empirical validation might not be immediately possible. However, structured analytical frameworks could provide valuable insights for both academic understanding and strategic planning in the field.

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